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MCLE

Competence Issues – Alcoholism and the Legal Profession

Presented by Shannon Z. Petersen Partner, Sheppard Mullin Richter & Hampton LLP

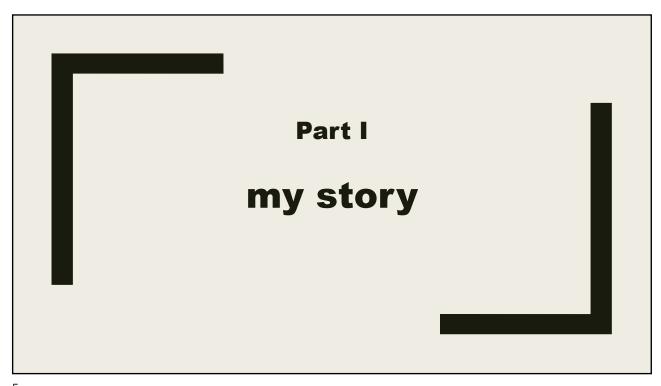
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• Program Outline:

- Part I "My Story"
 - My journey through alcoholism and sobriety
- Part II The Problem
 - The impact of alcoholism on the legal profession and its diagnosis and causes
- Part III The Solution
 - Preventative tools to address alcoholism, as well as methods to recognize and treat the disease







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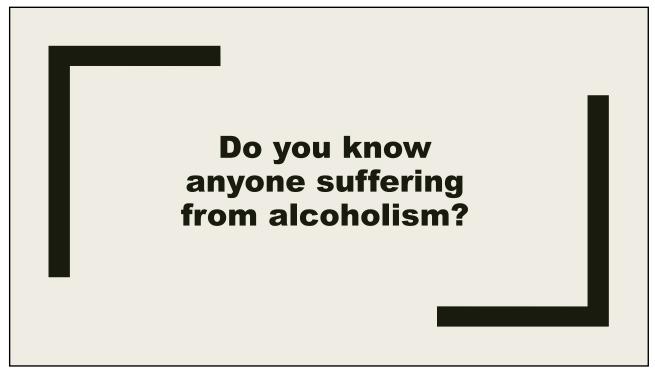


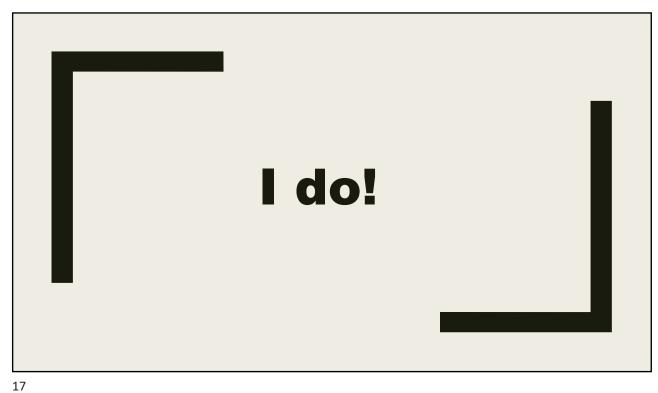








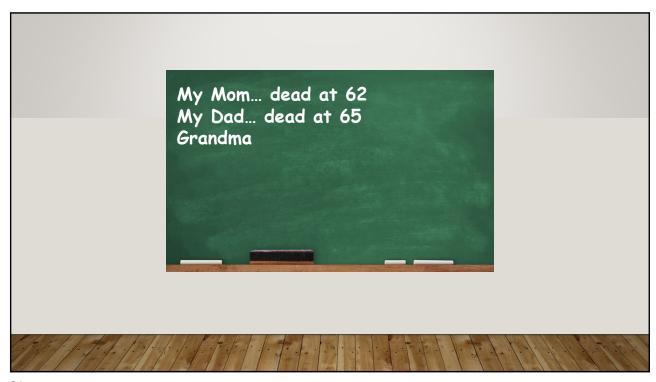


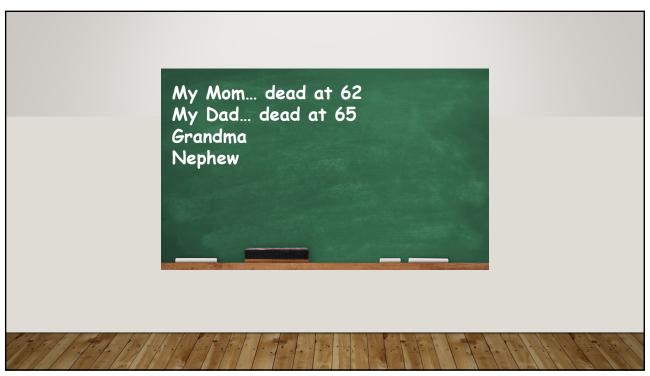


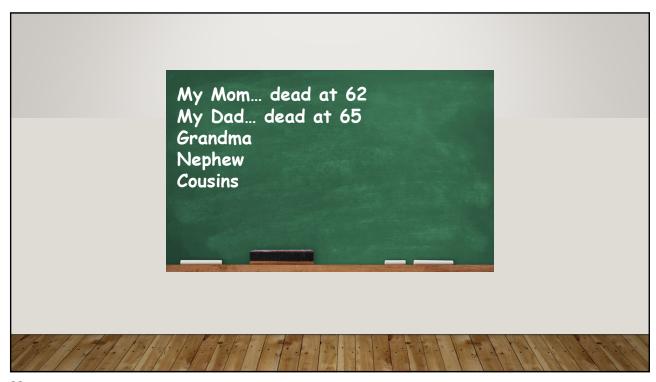


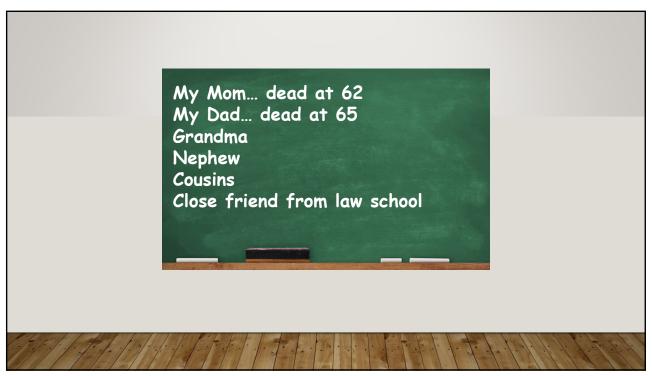


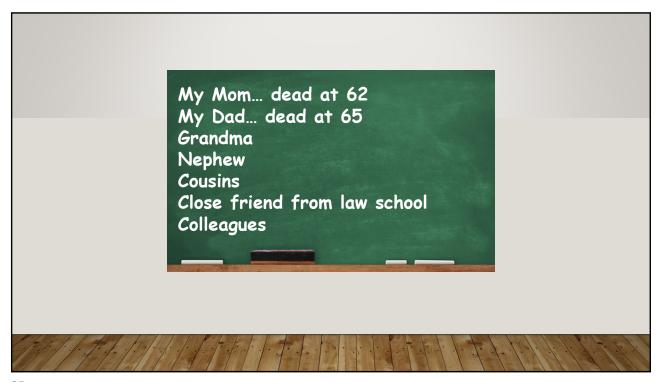


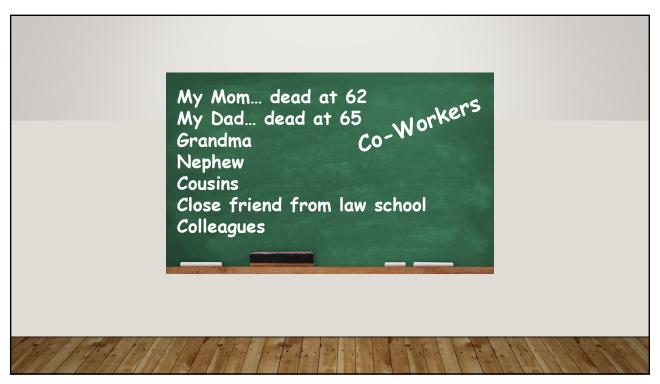


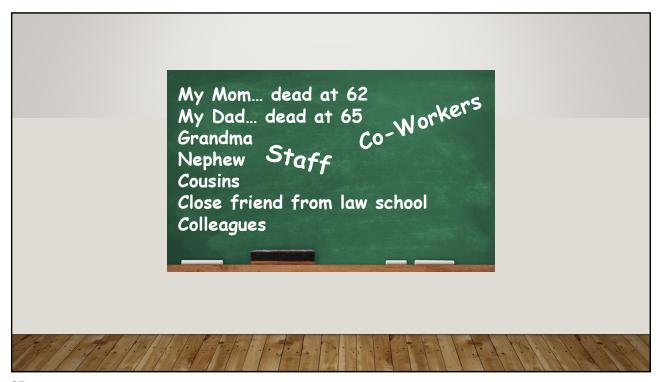


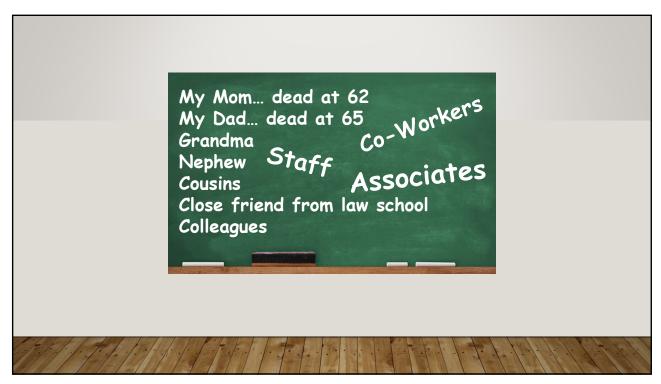




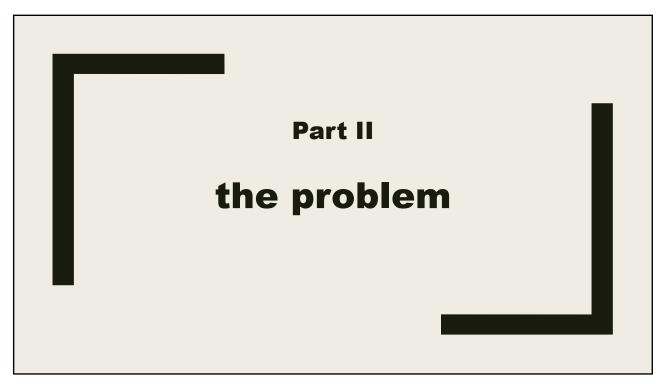
















I WENT TO WORK TODAY JUST SO I COULD HAVE MONEY FOR ALCOHOL

"Attorneys experience problematic drinking that is hazardous, harmful, or otherwise generally consistent with alcohol use disorders at a rate much higher than other populations."

Source: Patrick R. Krill, Ryan Johnson & Linda Albert, The Prevalence of Substance Use and Other Mental Health Concerns Among American Attorneys, 10(1) J. ADDICTION MED. 46, 52 (2016) (emphasis added).

 20.6% of attorneys exhibit hazardous, harmful, and potentially alcohol-dependent drinking.

Source: Krill, Johnson & Albert, Substance Use at 51; National Institute on Alcohol Use and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics.

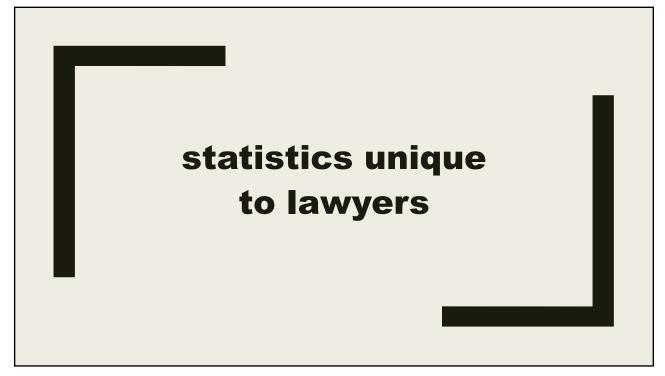
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- 20.6% of attorneys exhibit hazardous, harmful, and potentially alcohol-dependent drinking.
- As opposed to:
 - 11.8% of a broad, highly educated workforce

Source: Krill, Johnson & Albert, Substance Use at 51; National Institute on Alcohol Use and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics.

- 20.6% of attorneys exhibit hazardous, harmful, and potentially alcohol-dependent drinking.
- · As opposed to:
 - 11.8% of a broad, highly educated workforce; or
 - 5.6% of U.S. adults who are estimated to have alcohol use disorder.

Source: Krill, Johnson & Albert, Substance Use at 51; National Institute on Alcohol Use and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics.



• 20.6% exhibit hazardous or harmful drinking.

Source: Krill, Johnson & Albert, Substance Use at 51; David Mann, Attorneys: Alcohol and Addicts? Yes, available at: https://www.mercedbar.org/wp-content/uploads/2018/05/SF-Article.pdf.

37

- 20.6% exhibit hazardous or harmful drinking.
- Highest rates within first 10 years of practicing the law.

Source: Krill, Johnson & Albert, Substance Use at 51; David Mann, Attorneys: Alcohol and Addicts? Yes, available at: https://www.mercedbar.org/wp-content/uploads/2018/05/SF-Article.pdf.

- 20.6% exhibit hazardous or harmful drinking.
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- Junior associates have the highest rate with senior partners the lowest.

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39

- 20.6% exhibit hazardous or harmful drinking.
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- Majority of problem drinking occurs after law school.

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- 20.6% exhibit hazardous or harmful drinking.
- · Highest rates within first 10 years of practicing the law.
- Junior associates have the highest rate with senior partners the lowest.
- Majority of problem drinking occurs after law school.
- 50-70% of ABA disciplinary charges involve alcoholism or addiction.

Source: Krill, Johnson & Albert, Substance Use at 51; David Mann, Attorneys: Alcohol and Addicts? Yes, available at: https://www.mercedbar.org/wp-content/uploads/2018/05/SF-Article.pdf.

41

Alcoholism and alcohol abuse cost American workplaces \$33-68 billion per year.

Source: U.S. Office of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available of Personnel Management, A Handbook for Supervisors, available of Personnel Management, A Handbook for Supervisors, available of Personnel Management, A Handbook for Supervisors, A Handbook for Supe

https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-han



• Absenteeism / Sick leave / Tardiness

Source: U.S. Office of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors; Livengrin, What is Alcoholism Costing Your Business?, available at: https://livengrin.org/alcohol-costs-to-business.

- Absenteeism / Sick leave / Tardiness
- Loss of productivity and missed deadlines

Source: U.S. Office of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors; Livengrin, What is Alcoholism Costing Your Business?, available at: https://livengrin.org/alcohol-costs-to-business.

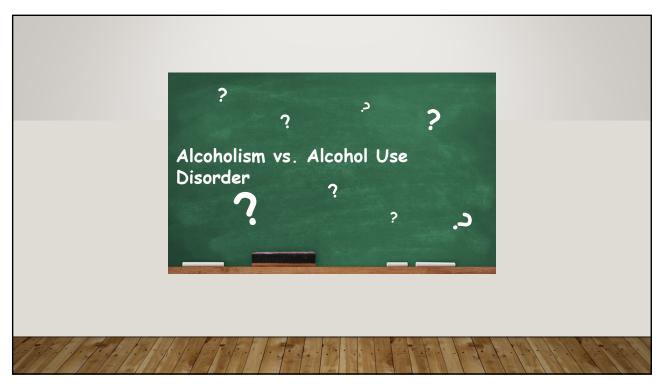
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- · Absenteeism / Sick leave / Tardiness
- Loss of productivity and missed deadlines
- · Increased injuries / Accident rates

Source: U.S. Office of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors; Livengrin, What is Alcoholism Costing Your Business?, available at: https://livengrin.org/alcohol-costs-to-business.

- · Absenteeism / Sick leave / Tardiness
- Loss of productivity and missed deadlines
- Increased injuries / Accident rates
- HR costs dealing with alcoholism/alcohol use disorder (counseling, termination, new hires)

Source: U.S. Office of Personnel Management, Alcoholism in the Workplace: A Handbook for Supervisors, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors; Livengrin, What is Alcoholism Costing Your Business?, available at: https://livengrin.org/alcohol-costs-to-business.



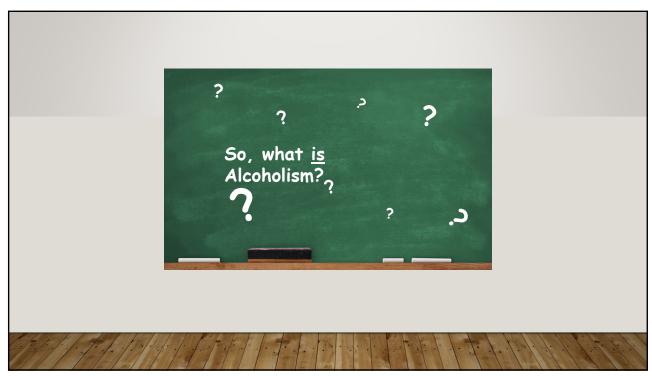


- Alcohol Use Disorder ("AUD"):
 - Diagnosis used by medical professionals to describe someone with an alcohol problem (i.e., exhibiting dependence on or abuse of alcohol).

Source: The Recovery Village, Understanding the Difference Between Alcohol Use and Alcoholism, available at: https://www.therecoveryvillage.com/alcohol-abuse/related-topics/alcoholism-vs-alcohol-use-disorder; National Institute on Alcohol Abuse and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics; Mayo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243.

- Alcohol Use Disorder ("AUD"):
 - Diagnosis used by medical professionals to describe someone with an alcohol problem (i.e., exhibiting dependence on or abuse of alcohol).
- · Alcoholism:
 - Non-medical term often used interchangeably with AUD (as will we).
 - (Some categorize alcoholism on the severe side of the AUD spectrum.)

Source: The Recovery Village, Understanding the Difference Between Alcohol Use and Alcoholism, available at: https://www.therecoveryvillage.com/alcohol-abuse/related-topics/alcoholism-vs-alcohol-use-disorder; National Institute on Alcohol Abuse and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics; Myoo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243.



- American Medical Association:
 - · It's a disease.

Source: A.I. Leshner, Addiction is a brain disease, and it matters, 278(5335) SCIENCE 807-808 (1997); National Institute on Alcohol Abuse and Alcoholism, Alcohol Use Disorder, available at: https://www.niaaa.nih.gov/alcohols-effects-health/alcohol-use-disorder; Mayo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243; American Addiction Centers, Problem Drinking vs. Alcoholism, available at: https://www.alcohol.org/alcoholism/or-is-it-just-a-problem.

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- American Medical Association:
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- National Institutes of Health:
 - It's a relapsing brain disorder.

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- American Medical Association:
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- Mayo Clinic:
 - · It's an unhealthy pattern of alcohol use.

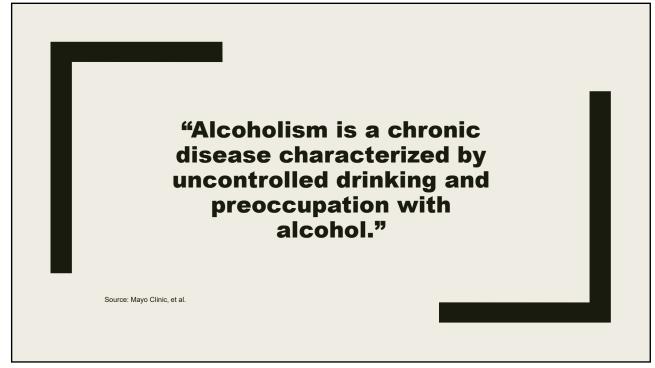
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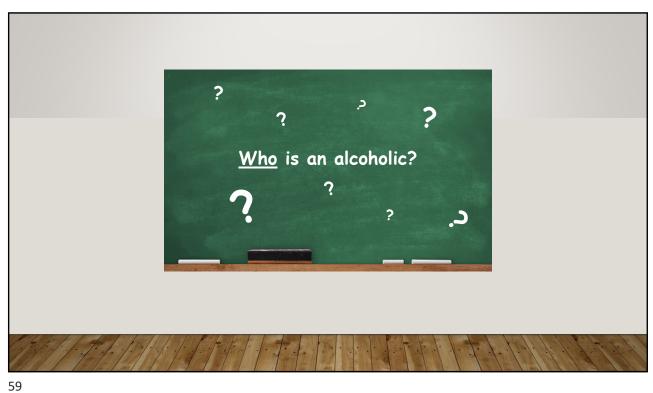
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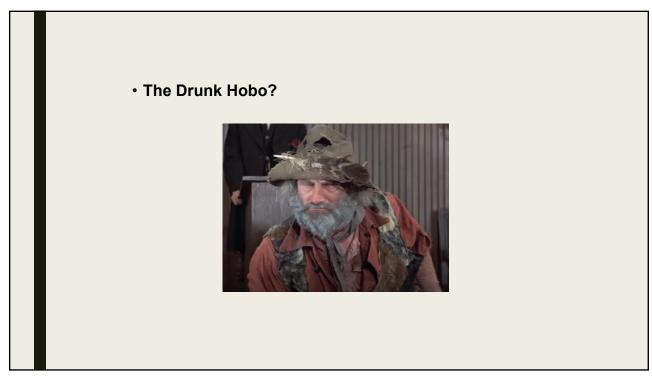
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• The Drunk Hobo? Not necessarily.

- "Greater alcohol consumption is [] associated with having a higher educational level and having a higher income."
- People who are employed are more likely to drink alcohol to harmful and hazardous levels than those out of work.

Source: P.L. Brennan, K.K. Schutte, R.H. Moos, *Patterns and predictors of late-life drinking trajectories: a 10-year longitudinal study*, 24(2) Psychol. Addiction Behav. 254-264 (2010); Susan E. Collins, *Associations Between Socioeconomic Factors and Alcohol Outcomes*, 38(1) ALCOHOL RES. 83-94 (2016).

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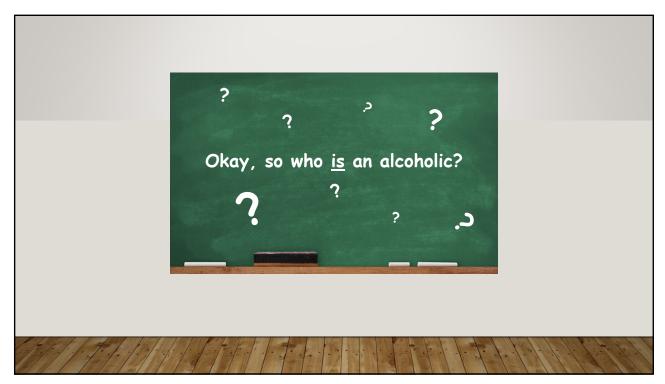
• The Party Animal?



• The Party Animal? Not necessarily.

- Excessive drinking is not a reliable indicator of alcoholism.
- Most people who binge drink are not alcoholics.

Source: Marissa B. Esser, Sarra L. Hedden, Dafna Kanny, Robert D. Brewer, Joseph C. Gfroere & Timothy S. Naimi, Prevalence of Alcohol Dependence Among U.S. Adult Drinkers, 2009-2011, 11 PREV. CHRONIC DIS. 140329 (2014).



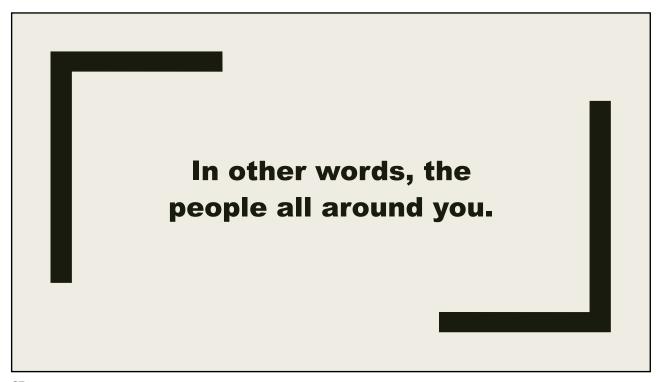
- In U.S. adults, by gender:
 - 8.9 million men
 - 5.2 million women

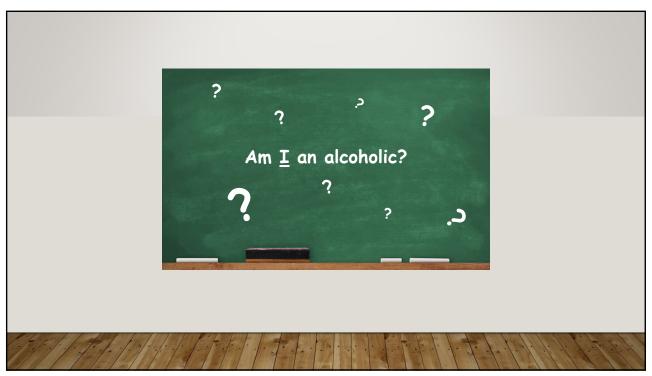
Source: National Institute on Alcohol Abuse and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics; 2018 National Survey on Drug Use and Health Table 5.48; Patrice A.C. Vaeth, Meme Wang-Schweig & Raul Caetano, Drinking, Alcohol Use Disorder, and Treatment Access and Utilization among U.S. Racial/Ethnic Groups, 41(1) ALCOHOL CLIN. EXP. RES. 6-19 (Jan. 2017).

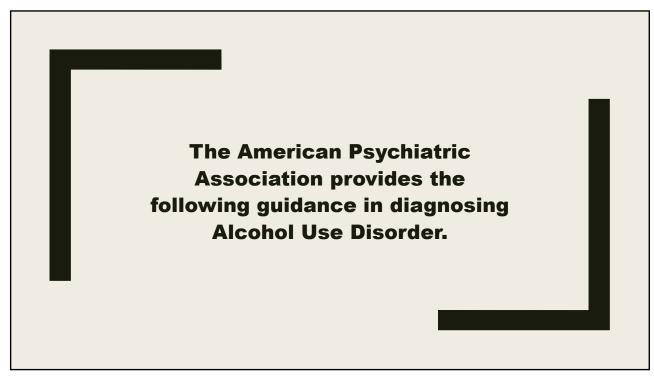
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- In U.S. adults, by gender:
 - 8.9 million men
 - 5.2 million women
- In U.S. adults, by race:
 - 6.7% White
 - 4.5% Black or African American
 - 5.8% Hispanic or Latino
 - 8.1% Native American
 - 4.0% Asian

Source: National Institute on Alcohol Abuse and Alcoholism, Alcohol Facts and Statistics, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/alcohol-facts-and-statistics; 2018 National Survey on Drug Use and Health Table 5.4B; Patrice A.C. Vaeth, Meme Wang-Schweig & Raul Caetano, Drinking, Alcohol Use Disorder, and Treatment Access and Utilization among U.S. Racial/Ethnic Groups, 41(1) ALCOHOL CLIN. EXP. RES. 6-19 (Jan. 2017).







• In the <u>past year</u> have you experienced <u>at least two</u> of the following 11 symptoms:

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

- In the <u>past year</u> have you experienced <u>at least two</u> of the following 11 symptoms:
 - 1. Had times when you ended up drinking more, or longer than you intended?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

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- In the <u>past year</u> have you experienced <u>at least two</u> of the following 11 symptoms:
 - 1. Had times when you ended up drinking more, or longer than you intended?
 - 2. More than once wanted to cut down or stop drinking, or tried to, but couldn't?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

- In the <u>past year</u> have you experienced <u>at least two</u> of the following 11 symptoms:
 - 1. Had times when you ended up drinking more, or longer than you intended?
 - 2. More than once wanted to cut down or stop drinking, or tried to, but couldn't?
 - 3. Spent a lot of time drinking? Or being sick or getting over other aftereffects?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

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4. Wanted a drink so badly you couldn't think of anything else?

- 4. Wanted a drink so badly you couldn't think of anything else?
- 5. Found that drinking—or being sick from drinking—often interfered with taking care of your home or family? Or caused job troubles? Or school problems?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

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- 4. Wanted a drink so badly you couldn't think of anything else?
- 5. Found that drinking—or being sick from drinking—often interfered with taking care of your home or family? Or caused job troubles? Or school problems?
- 6. Continued to drink even though it was causing trouble with your family or friends?

7. Given up or cut back on activities that were important or interesting to you, or gave you pleasure, in order to drink?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

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- 7. Given up or cut back on activities that were important or interesting to you, or gave you pleasure, in order to drink?
- 8. More than once gotten into situations while or after drinking that increased your chances of getting hurt (such as driving, swimming, using machinery, walking in a dangerous area, or having unsafe sex)?

9. Continued to drink even though it was making you feel depressed or anxious or adding to another health problem? Or after having had a memory blackout?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).

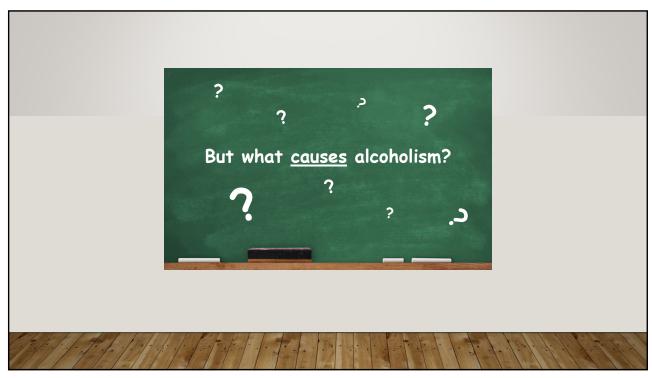
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- 9. Continued to drink even though it was making you feel depressed or anxious or adding to another health problem? Or after having had a memory blackout?
- 10. Had to drink much more than you once did to get the effect your want? Or found that your usual number of drinks had much less effect than before?

11. Found that when the effects of alcohol were wearing off, you had withdrawal symptoms, such as trouble sleeping, shakiness, restlessness, nausea, sweating, a racing heart, or a seizure? Or sensed things that were not there?

Source: Diagnostic and Statistical Manual of Mental Disorders (5th ed.; DSM-5; American Psychiatric Association, 2013).





Alcoholism can be the result of:

Source: Mayo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243.

- Alcoholism can be the result of:
 - Genetic factors

Source: Mayo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243.

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- · Alcoholism can be the result of:
 - Genetic factors
 - Psychological factors

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- · Alcoholism can be the result of:
 - Genetic factors
 - Psychological factors
 - Environmental factors

Source: Mayo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243.

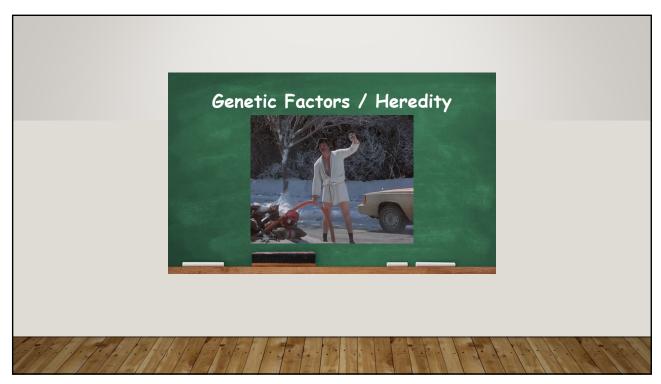
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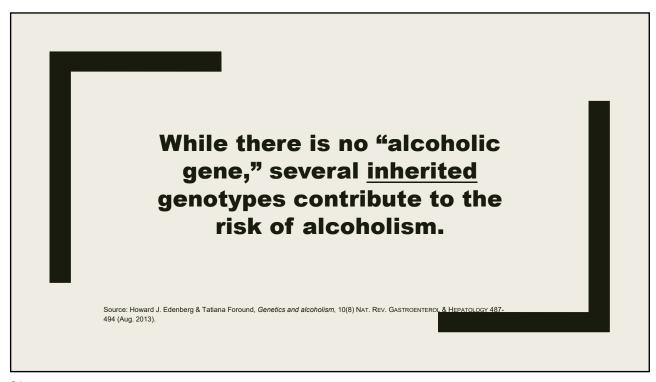
- · Alcoholism can be the result of:
 - Genetic factors
 - Psychological factors
 - Environmental factors
 - Social factors

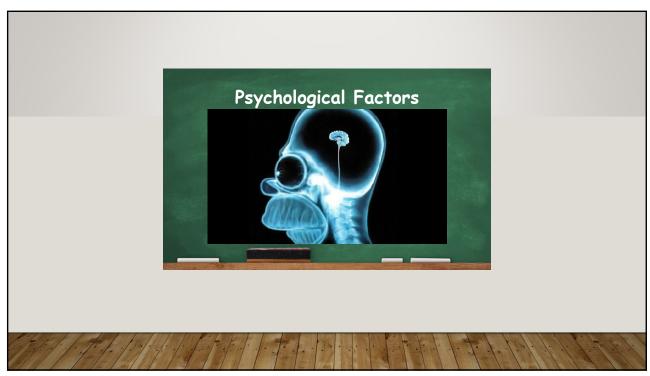
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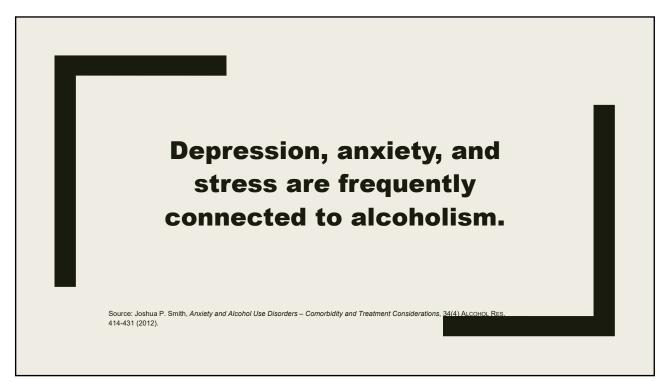
- · Alcoholism can be the result of:
 - Genetic factors
 - Psychological factors
 - Environmental factors
 - Social factors
 - Or all of the above!

Source: Mayo Clinic, Alcohol use disorder, available at: https://www.mayoclinic.org/diseases-conditions/alcohol-use-disorder/symptoms-causes/syc-20369243.





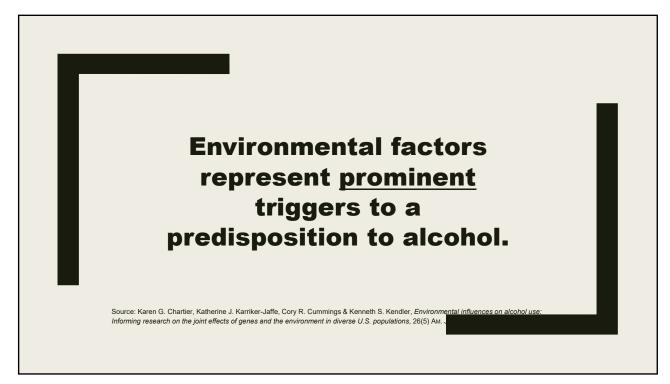




- Lawyers exhibit a "significant" rate of mental health distress:
 - Depression 28%
 - Anxiety 19%
 - Stress 23%

Source: Krill, Johnson & Albert, Substance Use at 46.





- Prominent environmental triggers include:
 - Adverse life events
 - Increased access to alcohol
 - Trauma (including childhood distress)
 - Parental/sibling/peer alcohol use

Source: Chartier, Karriker-Jaffe, Cummings & Kendler, Environmental influences on alcohol use.



Social and cultural factors predict increased alcohol use.

Source: May Sudhinaraset, Christina Wigglesworth & David T. Takeuchi, Social and Cultural Contexts of Alcohol Use Influences in a Social-Ecological Framework, 38(1) ALCOHOL RES. 35-45 (2016).

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- Social and cultural factors predicting increased alcohol use include:
 - Discrimination and difficulty coping with stigma (racial minorities, LGBTQ communities)
 - · Cultural norms and social rites of passage
 - Peer pressure (family, friends, colleagues)

Source: Sudhinaraset, Wigglesworth & Takeuchi, Social and Cultural Contexts of Alcohol Use.

Wet Blanket Warning

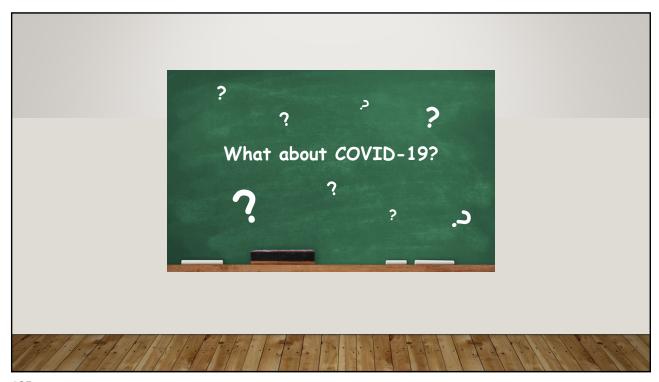


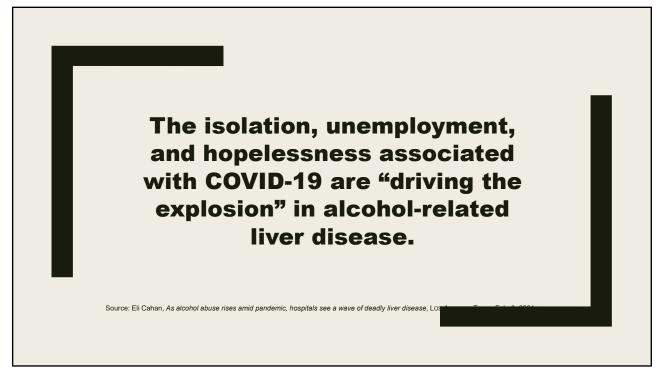
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 We are <u>all</u> guilty of attending and/or supporting events with an undue emphasis on alcohol:

- We are <u>all</u> guilty of attending and/or supporting events with an undue emphasis on alcohol:
 - Summer Associate events (wine/scotch tastings, poker parties, etc.)
 - Practice Group retreats ("Happy Hour" room, open bar, etc.)
 - Client dinners, CLE getaways, the list goes on...

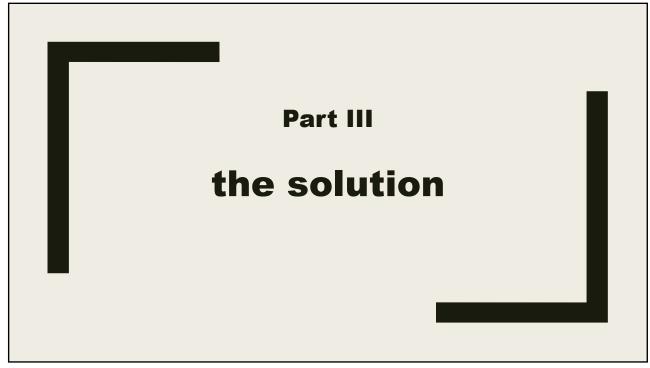
- Areas of Potential Concern:
 - Summer Associate events
 - Practice Group / Partner retreats
 - Networking cocktail hours
 - Client dinners vs. lunches
 - Conferences



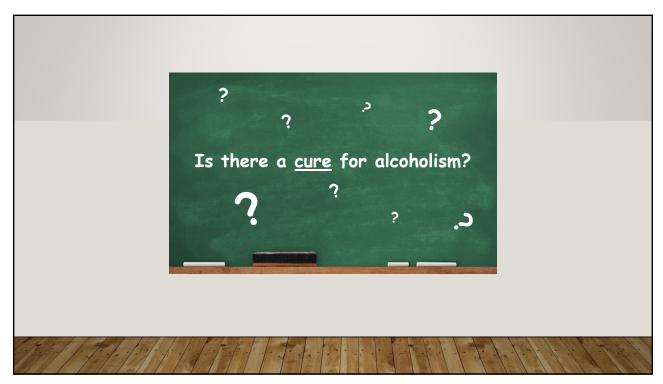


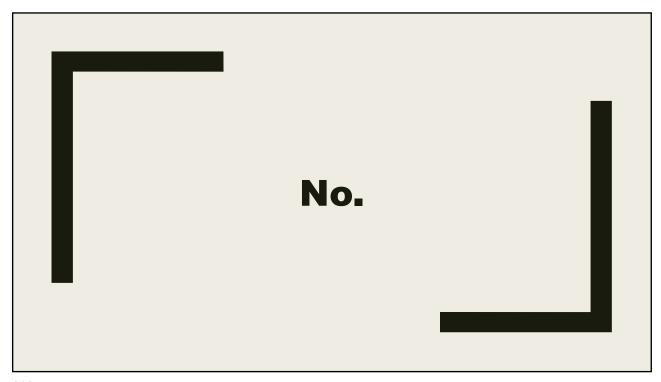
- Drinking statistics after the onset of COVID-19:
 - 54% increase in national sales of alcohol
 - 262% increase in online sales of alcohol
 - 29% increase in alcohol consumption
 - 21% increase in harmful drinking
 - 30% increase in alcoholic liver disease

Source: Michael S. Pollard, Joan S. Tucker, Harold D. Green, Jr., Changes in Adult Alcohol Use and Consequences During the COVID-19 Pandemic in the US, 3(9) JAMA NETW. OPEN (Sept. 2020); Carolina Barbosa, Alexander J. Cowell, William N. Dowd, Alcohol Consumption in Response to the COVID-19 Pandemic in the United States, J. Addict. Med. (Oct. 23, 2020); Yuki Noguchi, Sharp, 'Off The Charts' Rise in Alcoholic Liver Disease Among Young Women, NPR, March 16, 2021.











Treatment options include:

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- Treatment options include:
 - · Therapy / Counseling

Source: National Institute on Alcohol Abuse and Alcoholism, *Treatment for Alcohol Problems: Finding and Getting Help*, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/treatment-alcohol-problems-finding-and-getting-help; U.S. Office of Personnel Management, *Alcoholism in the Workplace: A Handbook for Supervisors*, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors/#Appendix.

- Treatment options include:
 - · Therapy / Counseling
 - · Hospitalization / Detoxification

Source: National Institute on Alcohol Abuse and Alcoholism, *Treatment for Alcohol Problems: Finding and Getting Help*, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/treatment-alcohol-problems-finding-and-getting-help; U.S. Office of Personnel Management, *Alcoholism in the Workplace: A Handbook for Supervisors*, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors/#Appendix.

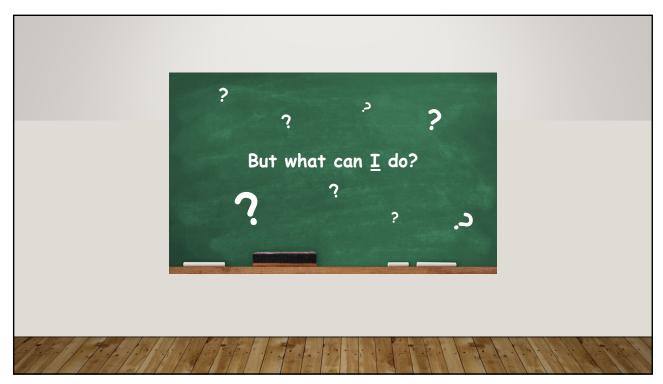
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- Treatment options include:
 - Therapy / Counseling
 - Hospitalization / Detoxification
 - Support groups (AA, The Other Bar)

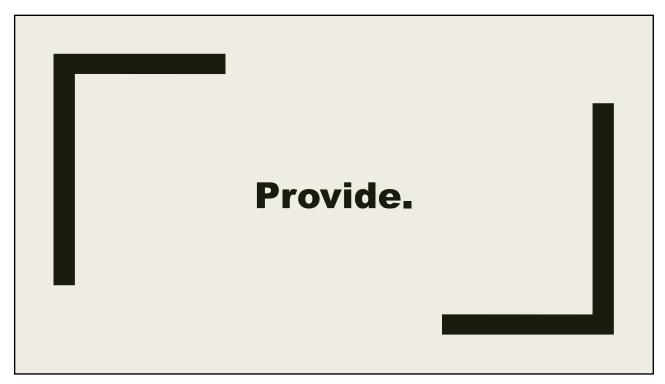
Source: National Institute on Alcohol Abuse and Alcoholism, *Treatment for Alcohol Problems: Finding and Getting Help*, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/treatment-alcohol-problems-finding-and-getting-help; U.S. Office of Personnel Management, *Alcoholism in the Workplace: A Handbook for Supervisors*, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors/#Appendix.

- Treatment options include:
 - Therapy / Counseling
 - Hospitalization / Detoxification
 - Support groups (AA, The Other Bar)
 - Medication

Source: National Institute on Alcohol Abuse and Alcoholism, *Treatment for Alcohol Problems: Finding and Getting Help*, available at: https://www.niaaa.nih.gov/publications/brochures-and-fact-sheets/treatment-alcohol-problems-finding-and-getting-help; U.S. Office of Personnel Management, *Alcoholism in the Workplace: A Handbook for Supervisors*, available at: https://www.opm.gov/policy-data-oversight/worklife/reference-materials/alcoholism-in-the-workplace-a-handbook-for-supervisors/#Appendix.







• <u>Provide</u> healthy mental environment:

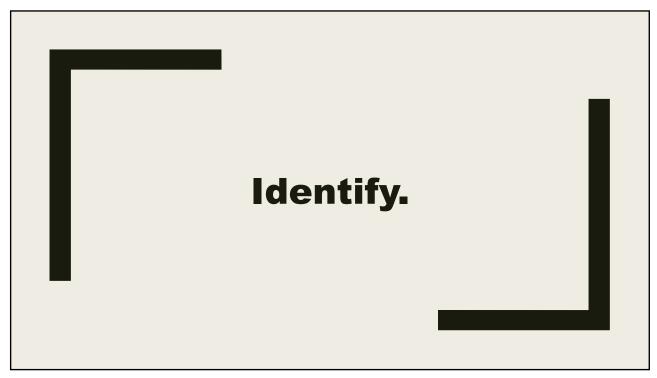
- <u>Provide</u> healthy mental environment:
 - Proactive training

- <u>Provide</u> healthy mental environment:
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 - Mentoring

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 - Safe space for private discussions

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 - Employee Assistance Programs (EAPs)

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 - Proactive training
 - Mentoring
 - Safe space for private discussions
 - Employee Assistance Programs (EAPs)
 - Lose the stigma!



• <u>Identify</u> warning signs/red flags:

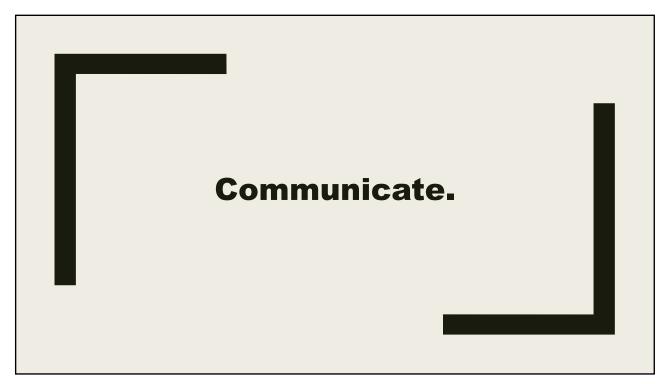
- <u>Identify</u> warning signs/red flags:
 - Isolation from friends/colleagues

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 - Isolation from friends/colleagues
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 - Missing work/failure to prioritize
 - Poor grooming/personal appearance
 - Trust your gut!



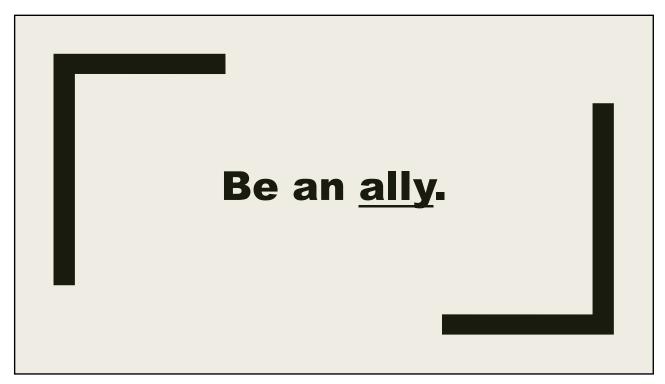
• <u>Communicate</u> helpful intentions:

- Communicate helpful intentions:
 - Seek assistance from doctor/therapist/HR

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 - Talk to their friends and family

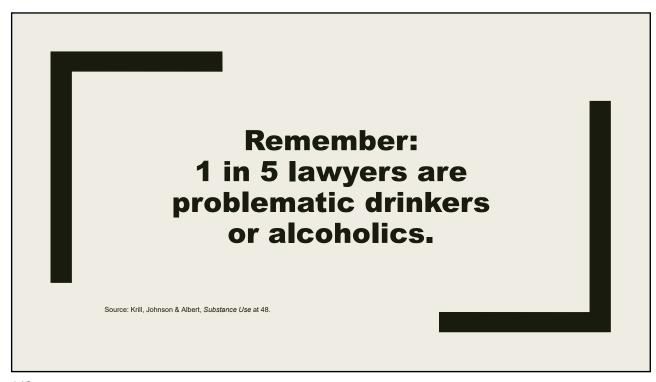
- Communicate helpful intentions:
 - Seek assistance from doctor/therapist/HR
 - Talk to their friends and family
 - · Ask if they're okay!

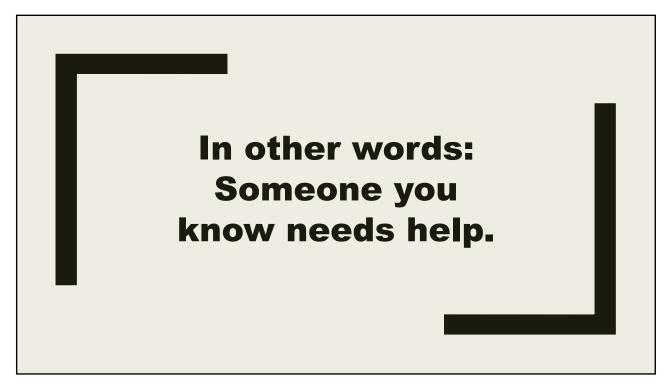




• Offer alternatives to drinking:

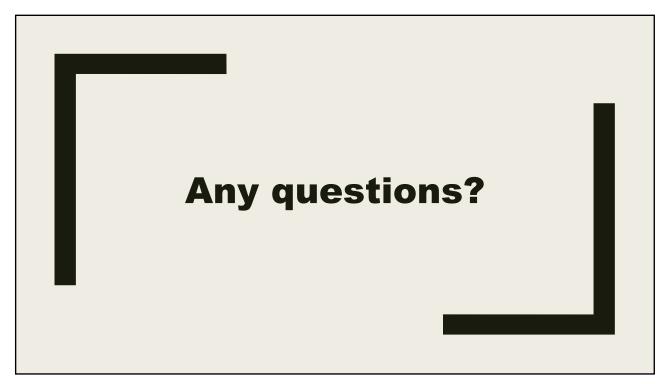
- Offer alternatives to drinking:
 - Exercise (hit the gym instead of the bar)
 - Hobbies (sports, hiking, movies)
 - · Client lunches instead of client dinners
 - Gatherings away from "triggers"
 - Sparkling water/juice instead of alcohol





• Resources:

- Substance Abuse and Mental Health Services Administration
 - 1-800-662-HELP
- Alcoholics Anonymous
 - www.aa.org
- The Other Bar
 - www.otherbar.org
 - · 1-800-222-0767



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Nothing in this presentation or in any related material is or should be relied on as medical, psychiatric, or therapeutic advice. Please see a qualified health care provider if a mental health condition or addiction issue is suspected.

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