

Lance Jasper

Partner

ljasper@akingump.com

Los Angeles

T +1 310.552.6442



Key Experience

- Advises public and private companies, investment advisers, broker-dealers and other financial institutions, as well as individuals in high-stakes government investigations and enforcement proceedings.
- Significant experience in matters before the SEC, DOJ, Federal Reserve, FDIC, FINRA, EPA and other regulators.
- Served for over a decade as an enforcement attorney at the SEC.

Biography

Lance represents corporations, investment advisers, broker-dealers and other financial institutions, as well as boards and individuals, in a range of internal and government investigations, regulatory examinations and enforcement proceedings and litigation.

Prior to joining Akin, Lance served as senior counsel in the Division of Enforcement of the U.S. Securities and Exchange Commission's (SEC) Los Angeles Regional Office. During his decade-long tenure at the Commission, Lance conducted complex, high-stakes investigations and litigation concerning investment adviser violations, insider trading, offering fraud, FCPA violations, public disclosures, FINRA and Nasdaq compliance, Ponzi schemes, broker-dealer registration, Bank Secrecy Act reporting, director independence, director compensation and accounting fraud. Notably, while at the SEC, he led a multiyear FCPA fraud case involving a multinational company, resulting in \$1.8 billion in SEC remedies, one of the largest ever achieved by the agency.

Areas of Focus

White Collar Defense & Government Investigations

Securities Enforcement & Litigation

Disputes & Investigations

Global Investment Adviser Regulatory

Investment Funds Litigation

Antibribery/Foreign Corrupt Practices Act (FCPA)

Energy Regulation, Markets & Enforcement

Education

J.D., Columbia Law School, with high honors, 2006

M.P.A., Harvard University, 2006

B.A., Boston College, *summa cum laude*, 2002

Clerkships

U.S.D.C., Southern District of New York

U.S.C.A., 9th Circuit

Bar Admissions

California

Lance leverages his deep knowledge of the federal securities laws and intimate familiarity with the SEC and its processes, tactics and staff to his clients' benefit—offering key insights to prevent misconduct before it occurs, minimize exposure and, if necessary, formulate an informed, strategic response to regulators. He also has extensive experience working closely with other regulatory authorities, including the U.S. Department of Justice (DOJ), the Federal Reserve, the Financial Industry Regulatory Authority (FINRA), state securities regulators, and state and federal banking regulators.

Lance received his B.A. *summa cum laude* from Boston College and his J.D. with high honors from Columbia Law School, where he was a James Kent Scholar. Prior to his tenure at the SEC, he was an associate in the litigation and bankruptcy groups of a leading Los Angeles-based law firm and clerked for Judge Naomi Reice Buchwald in the Southern District of New York and Judge Pamela Ann Rymer in the U.S. Court of Appeals for the 9th Circuit.

Representative Work

- Led three-year, high-profile FCPA and offering fraud investigation into a multinational oil company, resulting in over \$1.8 billion in SEC remedies and accounting for more than 40% of SEC financial remedies in 2018.*
- Led high-profile investigation, emergency litigation and asset recovery to halt \$690 million Ponzi scheme involving false claims of business dealings with leading media streaming services.*
- Led successful investigation and litigation against an investment adviser who defrauded famous clients by lying about management fees and fabricating account statements.*
- Led successful investigation and settlement concerning supervisory and other compliance failures by an investment adviser firm and its chief compliance officer.*
- Led emergency action against real estate investment company to halt \$150 million financial fraud based on targeted analysis of extensive bank records.*
- Led two insider trading cases that resulted in favorable settlements, including the investigation of a senior partner at a large law firm for trading on client information.*

**Matters handled prior to joining Akin.*

Speeches

- SEC and FASB Forum, Nevada, 2018.
- SEC Hot Topics, California, 2017.
- SEC Spotlight on Financial Reporting, California, 2017.

Recognition

- SEC Enforcement Director Award, 2015, 2016, 2018, 2019.
- SEC Special Act Award, 2015, 2016, 2018, 2022, 2023.
- Rising Star, *Southern California Super Lawyers*, 2013.
- Columbia Law School, James Kent Scholar, 2003, 2004.
- Phi Beta Kappa, Boston College, 2002.

Publications

- “Are Crypto Tokens Securities? Terraform Court Says ‘Yes’ in Extensive Decision,” Akin White Collar Defense & Government Investigations Alert, January 12, 2024.